



SECTOR E

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : October 13, 2000  
H. Droege, et al : Group Art No.: 2751  
Serial No. 09/620,185 : Examiner: Not assigned  
Filed: July 20, 2000 : for IBM Corporation  
Anne Vachon Dougherty  
Title: SECURE PERSONALIZATION 3173 Cedar Road  
OF CHIP CARDS Yorktown Hts, NY 10598

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application

Fee-Deposit Authorization

Declaration

Assignment and Recordation Form Cover Sheet

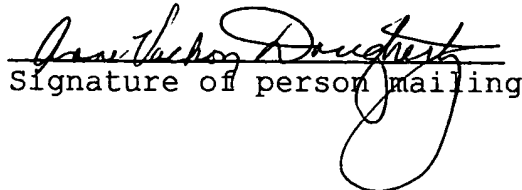
Acknowledgment Postcard

is being deposited with the United States Postal Service as first  
class mail in an envelope addressed to:

Commissioner of Patents  
Washington, DC 20231

on October 13, 2000.

Anne Vachon Dougherty

  
Signature of person mailing

DE919990004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : October 13, 2000  
H. Droege, et al : Group Art No.: 2751  
Serial No. 09/620,185 : Examiner: Not assigned  
Filed: July 20, 2000 : for IBM Corporation  
Anne Vachon Dougherty  
Title: SECURE PERSONALIZATION 3173 Cedar Road  
OF CHIP CARDS Yorktown Hts, NY 10598

DEPOSIT AUTHORIZATION

Authorization is hereby given to charge the following fees to  
Deposit Account No. 50-0510:

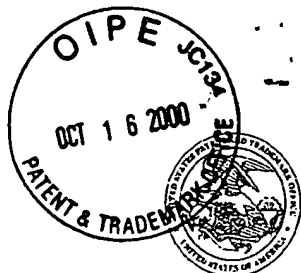
Fee for filing Missing Parts of Application (37 CFR 1.16(e))  
in the amount of \$130.00.

Fee in the amount of \$40.00 for recordation of Assignment.

A duplicate copy of this authorization is enclosed.

Anne Vachon Dougherty  
Name of authorized party

Anne Vachon Dougherty  
Signature of authorized party



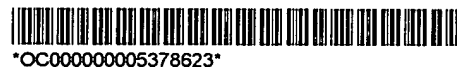
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/620,185	07/20/2000	Harmut Droege	DE9-1999-004-US1

IBM Corporation  
Anne Vachon Dougherty Esq  
3173 Cedar Road  
Yorktown Heights, NY 10598

## FORMALITIES LETTER



\*OC000000005378623\*

Date Mailed: 09/06/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/18/2000 CCHAU1 00000080 500510 09620185

01 FC:105 130.00 CH